

G3 Content Index - Mining & Metals Sector Supplement *

ANTOFAGASTA PLC. 2010

*: The Mining Supplement is applicable only for Antofagasta Minerals, the mining division of Antofagasta plc

Application Level		A	GRI-checked	Assured by		
STANDARD DISCLOSURES PART I: Profile Disclosures						
1. Strategy and Analysis						
Profile Disclosure	Description	Reported	Cross-reference/Direct answer	If applicable, indicate the part not reported	Reason for omission	Explanation
1,1	Statement from the most senior decision-maker of the organization.	Fully	Antofagasta plc: see page 10 Antofagasta Minerals: see page 26 Antofagasta Railway Company: see page 61 Aguas de Antofagasta: see page 71			
1,2	Description of key impacts, risks, and opportunities.	Partially	Antofagasta plc: see pages 13, 14, 16, 29. Antofagasta Minerals: see pages 6, 27 Antofagasta Railway Company: see pages 7, 60, 62 Aguas de Antofagasta: see pages 7, 70, 72 More on risk management in: http://ar10.antofagasta.co.uk/overview/risk.html	The effect on stakeholders' rights as defined by national laws and the expectations in internationally-agreed standards and norms.	Does not exist	Available for communities and under preparation for other stakeholders.
2. Organizational Profile						
Profile Disclosure	Description	Reported	Cross-reference/Direct answer	If applicable, indicate the part not reported	Reason for omission	Explanation
2,1	Name of the organization.	Fully	See page 5			
2,2	Primary brands, products, and/or services.	Fully	Antofagasta plc: see page 5 Antofagasta Minerals: see page 6 Antofagasta Railway Company: see pages 7, 60. Aguas de Antofagasta: see pages 7, 70 More information in: http://ar10.antofagasta.co.uk/overview/at-a-glance.html			
2,3	Operational structure of the organization, including main divisions, operating companies, subsidiaries, and joint ventures.	Fully	Antofagasta plc: see page 8, 9 Antofagasta Minerals: see page 6 Antofagasta Railway Company: see pages 7, 60 Aguas de Antofagasta: see pages 7, 70 More information in: http://ar10.antofagasta.co.uk/overview/at-a-glance.html			
2,4	Location of organization's headquarters.	Fully	33 King Street London SW1Y 6RJ United Kingdom Apoquindo 4001, Piso 18, Las Condes Santiago, Chile More information in: http://ar10.antofagasta.co.uk/overview/at-a-glance.html			
2,5	Number of countries where the organization operates, and names of countries with either major operations or that are specifically relevant to the sustainability issues covered in the report.	Fully	See pages 5, 9			

2,6	Nature of ownership and legal form.	Fully	See page 5 More information in (see page 76): http://ar10.antofagasta.co.uk/downloads/Corporate_Governance_Report_2010.pdf			
2,7	Markets served (including geographic breakdown, sectors served, and types of customers/beneficiaries).	Fully	Antofagasta plc: see page 5 Antofagasta Minerals: see page 6 Antofagasta Railway Company: see pages 7, 60 Aguas de Antofagasta: see pages 7, 70 More information in: http://ar10.antofagasta.co.uk/overview/marketplace.html			
2,8	Scale of the reporting organization.	Fully	Antofagasta plc: see page 5 Antofagasta Minerals: see page 6 Antofagasta Railway Company: see pages 7, 60 Aguas de Antofagasta: see pages 7, 70 More information in: http://ar10.antofagasta.co.uk/overview/at-a-glance.html			
2,9	Significant changes during the reporting period regarding size, structure, or ownership.	Fully	See page 6 (None regarding) Antofagasta plc. new projects are described in the section called <i>Antofagasta at a glance</i> (see page 8)			
2,10	Awards received in the reporting period.	Fully	See page 15			

3. Report Parameters						
Profile Disclosure	Description	Reported	Cross-reference/Direct answer	If applicable, indicate the part not reported	Reason for omission	Explanation
3,1	Reporting period (e.g., fiscal/calendar year) for information provided.	Fully	See page 4			
3,2	Date of most recent previous report (if any).	Fully	Sustainability Report 2009			
3,3	Reporting cycle (annual, biennial, etc.)	Fully	Annual			
3,4	Contact point for questions regarding the report or its contents.	Fully	Rafael Quiroga G. - External Affairs Manager Antofagasta Minerals (info:reporte@aminerals.cl)			
3,5	Process for defining report content.	Fully	See page 4 The organization expects that the following stakeholders use the report (see page 18).			
3,6	Boundary of the report (e.g., countries, divisions, subsidiaries, leased facilities, joint ventures, suppliers). See GRI Boundary Protocol for further guidance.	Fully	See page 4			
3,7	State any specific limitations on the scope or boundary of the report (see completeness principle for explanation of scope).	Fully	See page 4			
3,8	Basis for reporting on joint ventures, subsidiaries, leased facilities, outsourced operations, and other entities that can significantly affect comparability from period to period and/or between organizations.	Fully	See page 4			
3,9	Data measurement techniques and the bases of calculations, including assumptions and techniques underlying estimations applied to the compilation of the Indicators and other information in the report. Explain any decisions not to apply, or to substantially diverge from, the GRI Indicator Protocols.	Fully	See page 4. GRI protocols have been used. Quantitative indicators include explanatory notes and, when necessary, the criteria used to build them.			
3,10	Explanation of the effect of any re-statements of information provided in earlier reports, and the reasons for such re-statement (e.g., mergers/acquisitions, change of base years/periods, nature of business, measurement methods).	Fully	Explanatory are notes included when necessary.			
3,11	Significant changes from previous reporting periods in the scope, boundary, or measurement methods applied in the report.	Fully	Explanatory are notes included when necessary.			
3,12	Table identifying the location of the Standard Disclosures in the report.	Fully	The complete GRI Index is available in www.antofagasta.co.uk/interior/investor/f_social.html .			
3,13	Policy and current practice with regard to seeking external assurance for the report.	Fully	See page 4			

4. Governance, Commitments, and Engagement						
Profile Disclosure	Description	Reported	Cross-reference/Direct answer	If applicable, indicate the part not reported	Reason for omission	Explanation
4,1	Governance structure of the organization, including committees under the highest governance body responsible for specific tasks, such as setting strategy or organizational oversight.	Fully	Antofagasta plc: See page 16 Antofagasta Minerals: See page 29 More information in: http://ar10.antofagasta.co.uk/downloads/Corporate_Governance_Report_2010.pdf (see page 76, 79)			
4,2	Indicate whether the Chair of the highest governance body is also an executive officer.	Fully	The Chair is not an executive officer.			
4,3	For organizations that have a unitary board structure, state the number of members of the highest governance body that are independent and/or non-executive members.	Fully	See page 14. More information in: http://ar10.antofagasta.co.uk/downloads/Corporate_Governance_Report_2010.pdf (see page 76)			
4,4	Mechanisms for shareholders and employees to provide recommendations or direction to the highest governance body.	Fully	The Group and its companies has a permanent communication with its unions and workers. Mechanism to communicate shareholders recommendation are described in: http://ar10.antofagasta.co.uk/downloads/Corporate_Governance_Report_2010.pdf (see page 80). Each companies maintains constant communication with workers and their union representatives to asses labor relations and discuss related issues. The Board is kept well informed. Workers have no representatives sitting in the Board.			
4,5	Linkage between compensation for members of the highest governance body, senior managers, and executives (including departure arrangements), and the organization's performance (including social and environmental performance).	Fully	Antofagasta plc: see page 14 Antofagasta Minerals: see page 27 More information in: http://ar10.antofagasta.co.uk/downloads/Corporate_Governance_Report_2010.pdf (see page 78)			
4,6	Processes in place for the highest governance body to ensure conflicts of interest are avoided.	Fully	See page 19 More information in: http://ar10.antofagasta.co.uk/downloads/Corporate_Governance_Report_2010.pdf (see page 79)			
4,7	Process for determining the qualifications and expertise of the members of the highest governance body for guiding the organization's strategy on economic, environmental, and social topics.	Fully	Information in: http://ar10.antofagasta.co.uk/downloads/Corporate_Governance_Report_2010.pdf (see page 77)			
4,8	Internally developed statements of mission or values, codes of conduct, and principles relevant to economic, environmental, and social performance and the status of their implementation.	Fully	Antofagasta plc: see pages 12, 19 Antofagasta Minerals: see pages 31, 45			

4,9	Procedures of the highest governance body for overseeing the organization's identification and management of economic, environmental, and social performance, including relevant risks and opportunities, and adherence or compliance with internationally agreed standards, codes of conduct, and principles.	Fully	Antofagasta plc: see page 14 Antofagasta Minerals: see page 29. More information in: http://ar10.antofagasta.co.uk/downloads/Corporate_Governance_Report_2010.pdf (see page 79)			
4,10	Processes for evaluating the highest governance body's own performance, particularly with respect to economic, environmental, and social performance.	Fully	See page 14. More information in: http://ar10.antofagasta.co.uk/downloads/Corporate_Governance_Report_2010.pdf (see page 78)			
4,11	Explanation of whether and how the precautionary approach or principle is addressed by the organization.	Fully	Antofagasta plc Sustainability Principles include a precautionary approach.			
4,12	Externally developed economic, environmental, and social charters, principles, or other initiatives to which the organization subscribes or endorses.	Fully	Antofagasta plc is a participant of the Carbon Disclosure Project and the CDP's Water Disclosure.			
4,13	Memberships in associations (such as industry associations) and/or national/international advocacy organizations in which the organization: * Has positions in governance bodies; * Participates in projects or committees; * Provides substantive funding beyond routine membership dues; or * Views membership as strategic.	Fully	See page 19. See the sustainability reports of Los Pelambres (http://www.pelambres.cl/ReporteSustentabilidad/reporte2010mlp.html ; see page 38) and El Tesoro (www.tesoro.cl ; see page 35)			
4,14	List of stakeholder groups engaged by the organization.	Fully	See page 18			
4,15	Basis for identification and selection of stakeholders with whom to engage.	Fully	Antofagasta plc: see page 18 Antofagasta Minerals: see page 40; Antofagasta Railway Company: see page 63 Aguas de Antofagasta: see page 72 All three division engage with their stakeholders in processes that begin with the identification of key stakeholders and continue to identify their expectations, perceptions and opinions on mutually relevant aspects. Their main instruments are the following: Antofagasta Minerals holds a formal Annual Perception Survey process. Aguas de Antofagasta is externally assessed by its regulator Annual Customer Perception Survey. Antofagasta Railway Company had its first stakeholder engagement in 2010.			
4,16	Approaches to stakeholder engagement, including frequency of engagement by type and by stakeholder group.	Partially	Antofagasta plc: see page 18 Antofagasta Minerals: see page 40 Antofagasta Railway Company: see page 63 Aguas de Antofagasta: see page 72 Feedback from investors, employees, contractors, communities and authorities is considered when defining this Report's materiality.	Frequency of engagement by type	Does not exist	Under development.

4,17	Key topics and concerns that have been raised through stakeholder engagement, and how the organization has responded to those key topics and concerns, including through its reporting.	Partially	Antofagasta Minerals: see page 40 Antofagasta Railway Company: see page 63 Aguas Antofagasta: see page 73	Information provided only on most relevant topics for local communities.	Does not exist	Data exists, but has not been processed. We intend to make it available in 2013.
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STANDARD DISCLOSURES PART II: Disclosures on Management Approach (DMAs)							
G3 DMA	Description	Reported	Cross-reference/Direct answer	If applicable, indicate the part not reported	Reason for omission	Explanation	To be reported in
DMA EC	Disclosure on Management Approach EC						
Aspects	Economic performance	Fully	See page 5				
	Market presence	Fully	See management approach in: http://ar10.antofagasta.co.uk/overview/marketplace.html				
	Indirect economic impacts	Partially	See management approach in Antofagasta Minerals Social Strategy (see page 31), and Social Relation Policy (see page 39)	Not available for Antofagasta Railway Company and Aguas de Antofagasta	Not available	Under development.	2015
DMA EN	Disclosure on Management Approach EN						
Aspects	Materials	Partially	See management approach in Antofagasta Minerals Environmental Strategy, pag. 45.	Not available for Antofagasta Railway Company and Aguas de Antofagasta.	Not available	Has not yet been developed.	2015
	Energy	Partially	See management approach in Antofagasta Minerals Environmental Strategy, pag 45, and Carbon Disclosure Project, page 4 (http://www.aminerals.cl).	Not available for Antofagasta Railway Company and Aguas de Antofagasta.	Not available	Has not yet been developed.	2015
	Water	Partially	See management approach in Antofagasta Minerals Environmental Strategy, page 45, and Water Disclosure Project, page 3 (http://www.aminerals.cl).	Not available for Antofagasta Railway Company and Aguas de Antofagasta.	Not available	Has not yet been developed.	2015
	Biodiversity	Partially	See management approach in Antofagasta Minerals Environmental Strategy, page 45.	Not available for Antofagasta Railway Company and Aguas de Antofagasta.	Not available	Has not yet been developed.	2015
	Emissions, effluents and waste ^{COMM}	Partially	See management approach in Antofagasta Minerals Environmental Strategy, page 45.	Not available for Antofagasta Railway Company and Aguas de Antofagasta.	Not available	Has not yet been developed.	2015
	Products and services	Not		Has not been defined.	Not available	Has not yet been developed.	2015
	Compliance	Fully	See management approach for Antofagasta plc., page 19				
	Transport	Partially	See management approach in Antofagasta Minerals Environmental Strategy, page 45, and Social impacts page 32, Antofagasta Railways, page 62 & 66	Not available for Aguas de Antofagasta.	Not available	Has not yet been developed.	2015
	Overall	Fully	See management approach for Antofagasta plc., page 19. And the management approach in Antofagasta Minerals Environmental Strategy, page 45, and Social Strategy and Social impacts, pages 31, 32				

DMA LA	Disclosure on Management Approach LA						
Aspects	Employment ^{COMM}	Fully	See management approach in Antofagasta plc Sustainability Principles, page 12, Antofagasta Minerals Social Strategy, page 37.				
	Labor/management relations ^{COMM}	Fully	See management approach in Antofagasta plc Sustainability Principles, page 12, Antofagasta Minerals Social Strategy, page 37.				
	Occupational health and safety ^{COMM}	Fully	See management approach in Antofagasta plc Sustainability Principles, page 12, Antofagasta Minerals Social Strategy, page 37.				
	Training and education	Fully	See management approach in Antofagasta plc Sustainability Principles, page 12, Antofagasta Minerals Social Strategy, page 37.				
	Diversity and equal opportunity	Fully	See management approach in Antofagasta plc Sustainability Principles, page 12, Antofagasta Minerals Social Strategy, page 37.				
DMA HR	Disclosure on Management Approach HR						
Aspects	Investment and procurement practices	Fully	See management approach in Antofagasta plc Sustainability Principles (page 12), Antofagasta Minerals Social Strategy (page 31)				
	Non-discrimination	Fully	See management approach in Antofagasta plc Sustainability Principles, page 12, Antofagasta Minerals Social Strategy, page 37, Antofagasta Railways, page 64, Aguas de Antofagasta, page 74. Discrimination is illegal under Chilean law and is a given in the Group's selection processes. Company specific policies have yet to be developed.				
	Freedom of association and collective bargaining	Fully	See management approach in Antofagasta plc Sustainability Principles, page 12, Antofagasta Minerals Social Strategy, page 37, Antofagasta Railways, page 64, Aguas de Antofagasta, page 74. Antofagasta Railway Company pg 65 and Aguas de Antofagasta pg 75. Freedom of association and collective bargaining are protected by Chilean law. Company specific policies have yet to be developed.				

	Child labor	Fully	See management approach in Antofagasta plc Sustainability Principles, page 12, Antofagasta Minerals Social Strategy, page 37, Antofagasta Railways, page 64, Aguas de Antofagasta, page 74. Child labour is illegal under Chilean law. Company specific policies have yet to be developed. Antofagasta Minerals audits its contractors to assure their rights are being protected including legal working age.				
	Forced and compulsory labor	Fully	See management approach in Antofagasta plc Sustainability Principles, page 12, Antofagasta Minerals Social Strategy, page 37, Antofagasta Railways, page 64, Aguas de Antofagasta, page 74. Forced or compulsory labour is illegal under Chilean law. Company specific policies have yet to be developed. Antofagasta Minerals audits its contractors to assure their rights are being protected and nobody is working under constraint.				
	Security practices	Partially	See management approach in Antofagasta Minerals Social Strategy, page 31.	Not available for Antofagasta Railway Company	Not available	Has not yet been developed.	2015
	Indigenous rights ^{COMM}	Partially	Antofagasta plc Sustainability Principles express its respect for human rights. In the case of indigenous communities the Group also uses ILO 169 for guidance. See management approach in Antofagasta Minerals Social Strategy, page 31.	Not available for Antofagasta Railway Company and Aguas de Antofagasta.	Not available	Has not yet been developed.	2013
DMA SO	Disclosure on Management Approach SO						
Aspects	Community	Fully	See management approach in Antofagasta plc Sustainability Principles (page 12), Antofagasta Minerals Social Strategy (page 31), and Social Relation Policy (page 39).				
	Artisanal and small-scale mining	Not		No DMA has been defined on this subject.	Not available	Antofagasta Minerals is a member of the Sociedad Nacional de Minería which has small scale mining among its concerns.	2013
	Resettlement	Not		No DMA has been defined on this subject.	Not available	Until now, Antofagasta Minerals has never had to resettle any communities. If it ever has to, it will take guidance issued by the ICMM.	2015

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DMA PR Aspects	Grievance mechanisms and procedures	Fully	See page 19				
	Emergency Preparedness ^{COMM}	Fully	See management approach in Antofagasta Minerals Social Strategy (page 31).				
	Corruption	Fully	See page 19				
	Public policy	Fully	See page 19				
	Anti-competitive behavior	Not		No DMA has been defined on this subject.	Not available	Not material to our business but under the corporate Ethics Code for general principles.	2019
	Compliance	Fully	See management approach in Antofagasta plc Sustainability Principles (page 12).				
	Materials stewardship	Fully	See management approach in Antofagasta plc Sustainability Principles (page 12) and Product & Services section (page 20).				
	Customer health and safety	Fully	See management approach in Antofagasta plc Sustainability Principles (page 12) and Product & Services section (page 20). More information about the approach for Antofagasta Minerals in Global Armonizing System section (page 57); for Antofagasta Railway Company in page 63; and for Aguas de Antofagasta in page 73.				
	Product and service labelling	Partially	See Global Armonizing System section in page 57.	Not available for Antofagasta Railway Company and Aguas de Antofagasta.	Not available	Has not yet been developed.	2019
	Marketing communications	Not		No DMA has been defined on this subject.	Not available	Has not yet been developed.	2015
Customer privacy	Not		No DMA has been defined on this subject.	Not available	Has not yet been developed.	2015	
Compliance	Not		DMA on this subject is under preparation	Not available	Has not yet been developed.	2012	

STANDARD DISCLOSURES PART III: Performance Indicators

Economic							
Performance Indicator	Description	Reported	Cross-reference/Direct answer	If applicable, indicate the part not reported	Reason for Omission	Explanation	To be reported in
Economic performance							
EC1 _{COMM}	Direct economic value generated and distributed, including revenues, operating costs, employee compensation, donations and other community investments, retained earnings, and payments to capital providers and governments.	Fully	See page 20 <i>Commentary: Chile is not a candidate to or compliant with the Extractive Industries Transparency Initiative (EITI).</i>				
EC2	Financial implications and other risks and opportunities for the organization's activities due to climate change.	Partially	See pages 16, 17, 51	Quantitative estimation of the financial implications of climate change.	Not available	Under development	2015
EC3	Coverage of the organization's defined benefit plan obligations.	Partially	Antofagasta plc operations in Chile comply with benefit obligations according to national legislation.	The level of participation in retirement plans and the aggregate totals of plan coverage.	Not available	Under development	2015
EC4	Significant financial assistance received from government.	Partially	The Group benefits from the tax deductions permitted by Chilean law regarding some specific donations to educational, training and cultural initiatives.	Aggregate financial value for subsidies, grants, awards, royal holidays, exports credits agencies and financial incentives.	Not available	Under development	2015
Market presence							
EC5	Range of ratios of standard entry level wage compared to local minimum wage at significant locations of operation.	Not	Additional indicator. Not included in this Report.				
EC6	Policy, practices, and proportion of spending on locally-based suppliers at significant locations of operation.	Partially	See page 41 (Antofagasta Minerals).	Not available for Antofagasta Railway Company and Aguas de Antofagasta.	Not available	Under development	2015
EC7 _{COMM}	Procedures for local hiring and proportion of senior management and workforce hired from the local community at significant locations of operation.	Partially	See page 41 (Antofagasta Minerals).	Not available for Antofagasta Railway Company and Aguas de Antofagasta. Not available information at senior management level in Antofagasta Minerals.	Not available	Under development	2015

Indirect economic impacts							
Performance Indicator	Description	Reported	Cross-reference/Direct answer	If applicable, indicate the part not reported	Reason for Omission	Explanation	To be reported in
EC8	Development and impact of infrastructure investments and services provided primarily for public benefit through commercial, in-kind, or pro bono engagement.	Fully	Antofagasta Minerals: see page 40 Antofagasta Railway Company: see page 63 Aguas de Antofagasta: see page 76				
EC9	Understanding and describing significant indirect economic impacts, including the extent of impacts.	Fully	Antofagasta Minerals: see page 40 Antofagasta Railway Company: see page 63 Aguas de Antofagasta: see page 76 Significant impacts are identified by the companies and discussed with stakeholders through an ongoing engagement process.				
Environmental							
Materials							
EN1	Materials used by weight or volume.	Partially	Antofagasta Minerals page 54. More in the sustainability report of Los Pelambres, page 1 anexo ambiental (http://www.pelambres.cl/ReporteSustentabilidad/reporte2010mlp.html).	Not available for Antofagasta Railway Company and Aguas de Antofagasta.	Not available	Data exists, but has not been processed.	2015
EN2 ^{COMM}	Percentage of materials used that are recycled input materials.	Partially	Only available in the sustainability reports of Los Pelambres, page 2 anexo ambiental (http://www.pelambres.cl/ReporteSustentabilidad/reporte2010mlp.html) and El Tesoro, fully GRI index (http://www.tesoro.cl/opensite_20091216192153.asp).	Not available for Antofagasta Railway Company and Aguas de Antofagasta; and the mining companies of Esperanza and Michilla.	Not available	Data exists, but has not been processed.	2015
Energy							
EN3	Direct energy consumption by primary energy source.	Fully	Antofagasta Railway Company page 67, Aguas de Antofagasta page 77 Antofagasta plc.: see Carbon Disclosure Project, page 33 (http://www.aminerals.cl). Antofagasta Minerals: Mining Company Giga Joules Los Pelambres 2,963.242 El Tesoro 1,479.782 Michilla 128.212 Esperanza 1,267.357 More in the sustainability report of Los Pelambres, page 79, page 2 and www.pelambres.cl/ReporteSustentabilidad/reporte2010mlp.html and El Tesoro, page 72 (http://www.tesoro.cl/opensite_20091216192153.asp). Fuel consumed by trucks in Esperanza in 2010 was				

EN4	Indirect energy consumption by primary source.	Partially	Antofagasta Railway Company pág. 66, Aguas de Antofagasta page 77 Antofagasta plc.: see formulario del Carbon Disclosure Project, page 33 (http://www.aminerals.cl). Antofagasta Minerals: More in the sustainability report of Los Pelambres, page 79 (http://www.pelambres.cl/ReporteSustentabilidad/reporte2010mlp.html) and El Tesoro, page 71 (http://www.tesoro.cl/opensite_20091216192153.asp). El consumo de energía eléctrica en Minera Esperanza en 2010 fue de 34.066.440 Kwh, en Minera Michilla el consumo fue de 151.066.145 Kwh.	Consumption is not detailed down to renewable energy sources and non-renewable. Direct energy consumed by primary source in Joules or multiples for Esperanza and Michilla is not available.	Not available	Data exists, but has not been processed.	2015
EN5	Energy saved due to conservation and efficiency improvements.	Partially	Antofagasta Minerals page 51, Antofagasta Railway Company page 66	Not available for Aguas de Antofagasta.	Not available	Not available.	2015
EN6	Initiatives to provide energy-efficient or renewable energy based products and services, and reductions in energy requirements as a result of these initiatives.	Not	Additional indicator. Not included in this Report.				
EN7	Initiatives to reduce indirect energy consumption and reductions achieved.	Partially	Antofagasta Minerals page 51, Antofagasta Railway Company page 66, Aguas de Antofagasta page 77	The extent to which indirect energy use has been reduced during the reporting period for use of energy-intensive materials, subcontracted production, business-related travel and employee commuting.	Not available	Not available.	2015
Water							
EN8	Total water withdrawal by source.	Partially	Antofagasta Minerals page 48. More in the formulario del Water Disclosure Project, page 14 (http://www.aminerals.cl), and sustainability report of Los Pelambres, page 2 anexo ambiental (http://www.pelambres.cl/ReporteSustentabilidad/reporte2010mlp.html)	Not available for Antofagasta Railway Company and Aguas de Antofagasta.	Not available	Data exists, but has not been processed.	2015
EN9	Water sources significantly affected by withdrawal of water.	Partially	Antofagasta Minerals page 48. More in the formulario del Water Disclosure Project, page 16 (http://www.aminerals.cl).	Not available for Antofagasta Railway Company and Aguas de Antofagasta.	Not available	Data exists, but has not been processed.	2015
EN10	Percentage and total volume of water recycled and reused.	Partially	Antofagasta Minerals page 48. More in the formulario del Water Disclosure Project, page 15 (http://www.aminerals.cl).	Not available for Antofagasta Railway Company and Aguas de Antofagasta.	Not available	Data exists, but has not been processed.	2015

Biodiversity							
EN11	Location and size of land owned, leased, managed in, or adjacent to, protected areas and areas of high biodiversity value outside protected areas.	Partially	Antofagasta Minerals page 56. More in the sustainability report of Los Pelambres, page 3 anexo ambiental (http://www.pelambres.cl/ReporteSustentabilidad/reporte2010mlp.html).	Not available for Antofagasta Railway Company and Aguas de Antofagasta.	Not available	Not available.	2015
EN12 _{COMM}	Description of significant impacts of activities, products, and services on biodiversity in protected areas and areas of high biodiversity value outside protected areas.	Partially	Antofagasta Minerals page 56. More in the sustainability report of Los Pelambres, page 4 anexo ambiental (http://www.pelambres.cl/ReporteSustentabilidad/reporte2010mlp.html).	Not available for Antofagasta Railway Company and Aguas de Antofagasta.	Not available	Not available.	2015
MM1	Amount of land (owned or leased, and managed for production activities or extractive use) disturbed or rehabilitated.	Partially	Antofagasta Minerals page 53, 56. More in the sustainability report of Los Pelambres, page 74, 84 (http://www.pelambres.cl/ReporteSustentabilidad/reporte2010mlp.html) and El Tesoro, page 77, 78 (http://www.tesoro.cl/opensite_20091216192153.asp).	Total land disturbed and not yet rehabilitated in hectares.	Not available	Not available.	2015
EN13 _{COMM}	Habitats protected or restored.	Partially	Antofagasta Minerals page 56. More in the sustainability report of Los Pelambres, page 5 anexo ambiental (http://www.pelambres.cl/ReporteSustentabilidad/reporte2010mlp.html).	Not available for Antofagasta Railway Company and Aguas de Antofagasta.	Not available	Not available.	2015
EN14 _{COMM}	Strategies, current actions, and future plans for managing impacts on biodiversity.	Partially	Antofagasta Minerals page 56. More in the sustainability report of Los Pelambres, page 5 anexo ambiental (http://www.pelambres.cl/ReporteSustentabilidad/reporte2010mlp.html).	Not available for Antofagasta Railway Company and Aguas de Antofagasta.	Not available	Not available.	2015
MM2	The number and percentage of total sites identified as requiring biodiversity management plans according to stated criteria, and the number (percentage) of those sites with plans in place.	Partially	Antofagasta Minerals page 56. More in the sustainability report of Los Pelambres, page 5 anexo ambiental (www.pelambres.cl) and El Tesoro, page 80 (http://www.tesoro.cl/opensite_20091216192153.asp).	No se incluye información específica de Minería Esperanza y Michilla	Not material	Not available.	2015
EN15	Number of IUCN Red List species and national conservation list species with habitats in areas affected by operations, by level of extinction risk.	Not	Additional indicator. Not included in this Report.				
Emissions, effluents and waste							
EN16	Total direct and indirect greenhouse gas emissions by weight.	Fully	Antofagasta Minerals page 51, Antofagasta Railway Company page 66, Aguas de Antofagasta page 77 Antofagasta plc.: see formulario del Carbon Disclosure Project, pages 20 a 31 (http://www.aminerals.cl).				
EN17	Other relevant indirect greenhouse gas emissions by weight.	Fully	Antofagasta Minerals page 51 Antofagasta plc.: see formulario del Carbon Disclosure Project, pages 37 a 38 (http://www.aminerals.cl).				
EN18	Initiatives to reduce greenhouse gas emissions and reductions achieved.	Fully	Antofagasta Minerals page 51 Antofagasta plc.: see formulario del Carbon Disclosure Project, pages 34 a 35 (http://www.aminerals.cl).				
EN19	Emissions of ozone-depleting substances by weight.	Not	Not significant or relevant to our process. Not included in the 2010 Report.		Not material		
EN20 _{COMM}	NOx, SOx, and other significant air emissions by type and weight.	Partially	Antofagasta Minerals page 55	Nox, Sox, POP, VOC, HAP are not included.	Not material		

EN21	Total water discharge by quality and destination.	Fully	Antofagasta Minerals page 48, Antofagasta Railway Company page 66, Aguas de Antofagasta page 77 Antofagasta Minerals: see formulario del Water Disclosure Project, pages 17 y 18; and sustainability report El Tesoro, page 79 (http://www.tesoro.cl/opensite_2009)					
EN22 _{COMM}	Total weight of waste by type and disposal method.	Partially	Antofagasta Minerals pages 53, 54, Aguas de Antofagasta page 77 Antofagasta Minerals: More in the sustainability report of Los Pelambres, page 6 anexo ambiental (http://www.pelambres.cl/ReporteSustentabilidad/reporte2010mlp.html) and El Tesoro, page 76 (http://www.tesoro.cl/opensite_20091216192153.asp).	The more complete the operation with Antofagasta Minerals, Minera Los Pelambres, however this operation is missing to complete two aspects: type for deep well injection, for on-site storage.	Not material			
MM3	Total amounts of overburden, rock, tailings, and sludges and their associated risks.	Fully	Antofagasta Minerals page 53. More in the sustainability report of Los Pelambres, page 7 anexo ambiental (http://www.pelambres.cl/ReporteSustentabilidad/reporte2010mlp.html).					
EN23 _{COMM}	Total number and volume of significant spills.	Fully	Antofagasta Minerals page 46, Antofagasta Railway Company page 66, Aguas de Antofagasta page 77					
EN24	Weight of transported, imported, exported, or treated waste deemed hazardous under the terms of the Basel Convention Annex I, II, III, and VIII, and percentage of transported waste	Not	Additional indicator. Not included in this Report.					
EN25	Identity, size, protected status, and biodiversity value of water bodies and related habitats significantly affected by the reporting organization's discharges of water and runoff.	Not	Additional indicator. Not included in this Report.					
Products and services								
EN26	Initiatives to mitigate environmental impacts of products and services, and extent of impact mitigation.	Fully	Zero. The Group does mitigate the impacts of its operations.					
EN27	Percentage of products sold and their packaging materials that are reclaimed by category.	Fully	Zero.					
Compliance								
EN28	Monetary value of significant fines and total number of non-monetary sanctions for non-compliance with environmental laws and regulations.	Partially	Antofagasta Minerals page 46, Antofagasta Railway Company page 66, Aguas de Antofagasta page 77.	Number of non-monetary sanctions and Cases brought through dispute resolution mechanisms are not reported	Not available	Data exists, but has not been processed.	2015	
Transport								
EN29	Significant environmental impacts of transporting products and other goods and materials used for the organization's operations, and transporting members of the workforce.	Not	Additional indicator. Not included in this Report.					
Overall								
EN30	Total environmental protection expenditures and investments by type.	Not	Additional indicator. Not included in this Report.					

Social: Labor Practices and Decent Work							
Performance Indicator	Description	Reported	Cross-reference/Direct answer	If applicable, indicate the part not reported	Reason for Omission	Explanation	To be reported in
Employment							
LA1	Total workforce by employment type, employment contract, and region.	Fully	Antofagasta Minerals page 33, Antofagasta Railway Company page 64, Aguas de Antofagasta page 74. Most of Aguas de Antofagasta and Antofagasta Railway workers live in the Antofagasta Region.				
LA2	Total number and rate of employee turnover by age group, gender, and region.	Partially	See fully GRI index (http://www.pelambres.cl/ReporteSustentabilidad/reporte2010mlp.html) and El Tesoro (http://www.tesoro.cl/opensite_20091216192153.asp).	Not available for Antofagasta Railway Company and Aguas de Antofagasta. At Antofagasta Minerals included only for Los Pelambres y El Tesoro.	Not available	At Railway and Aguas de Antofagasta y Ferrocarril rotation is not an issue as most workers have been with the company for many years.	2019
LA3	Benefits provided to full-time employees that are not provided to temporary or part-time employees, by major operations.	Not	Additional indicator. Not included in this Report.				
Labor/management relations							
LA4	Percentage of employees covered by collective bargaining agreements.	Fully	Antofagasta Minerals page 37, Antofagasta Railway Company page 65. More details on Antofagasta Minerals at Los Pelambres, Report page 86 e indice GRI (http://www.pelambres.cl/ReporteSustentabilidad/reporte2010mlp.html) and Report by El Tesoro, page 94 (http://www.tesoro.cl/opensite_20091216192153.asp).				
LA5	Minimum notice period(s) regarding significant operational changes, including whether it is specified in collective agreements.	Fully	Formally informed through each company's internal channels and in accordance with Chilean legislation. No pre established minimum notice periods established beyond legal requirements.				
MM4	Number of strikes and lock-outs exceeding one week's duration, by country.	Fully	Antofagasta Minerals page 37.				
Occupational health and safety							
LA6	Percentage of total workforce represented in formal joint management-worker health and safety committees that help monitor and advise on occupational health and safety programs.	Fully	Additional indicator.		Not material	According to Chilean law, all workers are represented in this committees.	2015
LA7	Rates of injury, occupational diseases, lost days, and absenteeism, and number of work-related fatalities by region.	Partially	Antofagasta Minerals page 35, Antofagasta Railway Company page 64, Aguas de Antofagasta page 75. Antofagasta Minerals: details in the Report by Los Pelambres, page 68, 69, 93 and page 1 anexo laboral (http://www.pelambres.cl/ReporteSustentabilidad/reporte2010mlp.html); and Report by El Tesoro, page 52 (www.tesoro.cl).	Information is presented by companies, not regions. Occupational disease only in Los Pelambres. Lost days and absenteeism are not disclosed.	Not available	Data exists, but has not been processed.	2015

LA8	Education, training, counseling, prevention, and risk-control programs in place to assist workforce members, their families, or community members regarding serious diseases.	Partially	Antofagasta Minerals page 34, Antofagasta Railway Company page 64, Aguas de Antofagasta page 74. Antofagasta Minerals: details in Report by Los Pelambres, page 68, 69, 93 (http://www.pelambres.cl/ReporteSustentabilidad/reporte2010mlp.html) and Report El Tesoro, page. 52 (http://www.tesoro.cl/opensite_20091216192153.asp).	Treatment program(s) to assist work force members, their families or community members. Whether there are workers who are involved in occupational activities who have a high incidence or high risk of specific diseases.	Not material	In Chile public health is provided by the state. Legally, the state is under obligation to procure treatment for serious diseases. Thus Antofagasta has no permanent health initiatives apart from prevention programs. In the case of specific pandemia, the company makes ad hoc campaigns.	
LA9	Health and safety topics covered in formal agreements with trade unions.	Not	Additional indicator. Not included in this Report.				
Training and education							
LA10	Average hours of training per year per employee by employee category.	Partially	Antofagasta Minerals page 38, Antofagasta Railway Company page 65, Aguas de Antofagasta page 75. Antofagasta Minerals: more details in report by Los Pelambres, page 91 a 93, page 2 anexo laboral (http://www.pelambres.cl/ReporteSustentabilidad/reporte2010mlp.html) and Report by El Tesoro, page 95 (http://www.tesoro.cl/opensite_20091216192153.asp).	Average hours of training per year per employee by employee category for Railway and Aguas de Antofagasta.	Not available	Data exists, but has not been processed.	2015
LA11	Programs for skills management and lifelong learning that support the continued employability of employees and assist them in managing career endings.	Partially	Antofagasta Minerals page 38, Antofagasta Railway Company page 64, Aguas de Antofagasta page 74	Whether transition assistance programs to support employees who are retiring or who have been terminated provide any pre-retirement planning for intended retirees; any retraining for those intending to continue working; any severance pay (if severancy pay is provided, does it take into account employee age and years of service); any job placement services; and any assistance on transitioning to a non-working life.	Not available	Data exists, but has not been processed.	2015
LA12	Percentage of employees receiving regular performance and career development reviews.	Not	Additional indicator. Not included in this Report.				

Diversity and equal opportunity							
LA13	Composition of governance bodies and breakdown of employees per category according to gender, age group, minority group membership, and other indicators of diversity.	Partially	Antofagasta Minerals page 37, Antofagasta Railway Company page 64, Aguas de Antofagasta page 74	La información no está desagregada por minority groups, ni tampoco age group.	Not available	Not available.	2015
LA14	Ratio of basic salary of men to women by employee category.	Fully	Base salaries are the same for all workers, no matter their gender. Differences relate to performance, level of experience and responsibility and training. There are not enough women in exactly the same positions of men to make a statistically valid comparison.				
Social: Human Rights							
Performance Indicator	Description	Reported	Cross-reference/Direct answer	If applicable, indicate the part not reported	Reason for Omission	Explanation	To be reported in
Investment and procurement practices							
HR1	Percentage and total number of significant investment agreements that include human rights clauses or that have undergone human rights screening.	Fully	None.				
HR2	Percentage of significant suppliers and contractors that have undergone screening on human rights and actions taken.	Partially	Antofagasta Minerals, page 33 Antofagasta Minerals; More details in the reports by Los Pelambres, page 27, 98, 99 (http://www.pelambres.cl/ReporteSustentabilidad/reporte2010mlp.html) and El Tesoro, page 97 (http://www.tesoro.cl/opensite_20091216192153.asp).	Not available for Antofagasta Railway Company and Aguas de Antofagasta.	Not available	Not available.	2015
HR3	Total hours of employee training on policies and procedures concerning aspects of human rights that are relevant to operations, including the percentage of employees trained.	not	Additional indicator. Not included in this Report.				
Non-discrimination							
HR4	Total number of incidents of discrimination and actions taken.	Fully	Zero incidents reported.				
Freedom of association and collective bargaining							
HR5 ^{COMM}	Operations identified in which the right to exercise freedom of association and collective bargaining may be at significant risk, and actions taken to support these rights.	Fully	No such risk have been identified. All operation have worker unions in charge of protecting these rights among others functions.				
Child labor							
HR6	Operations identified as having significant risk for incidents of child labor, and measures taken to contribute to the elimination of child labor.	Fully	None.				
Forced and compulsory labor							
HR7	Operations identified as having significant risk for incidents of forced or compulsory labor, and measures to contribute to the elimination of forced or compulsory labor.	Fully	None.				
Security practices							
HR8	Percentage of security personnel trained in the organization's policies or procedures concerning aspects of human rights that are relevant to operations.	Fully	None.				
Indigenous rights							

MM5	Total number of operations taking place in or adjacent to Indigenous Peoples' territories, and number and percentage of operations or sites where there are formal agreements with Indigenous Peoples' communities.	Fully	Antofagasta Minerals page 42 Antofagasta Minerals provides details in report by Los Pelambres, ver indice GRI (http://www.pelambres.cl/ReporteSustentabilidad/reporte2010mlp.html) and El Tesoro, ver indice GRI (http://www.tesoro.cl/opensite_20091216192153.asp).					
HR9	Total number of incidents of violations involving rights of indigenous people and actions taken.	Fully	Zero incidents involving indigenous people.					
Social: Society								
Performance Indicator	Description	Reported	Cross-reference/Direct answer	If applicable, indicate the part not reported	Reason for Omission	Explanation	To be reported in	
Community								
SO1 _{COMM}	Nature, scope, and effectiveness of any programs and practices that assess and manage the impacts of operations on communities, including entering, operating, and exiting.	Fully	Antofagasta Minerals: see page 40 Antofagasta Railway Company: see page 63 Aguas de Antofagasta: see page 76					
MM6	Number and description of significant disputes relating to land use, customary rights of local communities and Indigenous Peoples.	Fully	Antofagasta Minerals: see page 42					
MM7	The extent to which grievance mechanisms were used to resolve disputes relating to land use, customary rights of local communities and Indigenous Peoples, and the outcomes.	Fully	Antofagasta Minerals: see page 42					
Artisanal and small-scale mining								
MM8	Number (and percentage) or company operating sites where artisanal and small-scale mining (ASM) takes place on, or adjacent to, the site; the associated risks and the actions taken to manage and mitigate these risks.	Fully	Only one of Antofagasta Minerals's operations - Michilla - leases part of its mining property to ASM, a relationship ruled under Chilean Mining Property Law .Michilla buys their production at market rates saving them transportation costs.					
Resettlement								
MM9	Sites where resettlements took place, the number of households resettled in each, and how their livelihoods were affected in the process.	Fully	None.					
Closure planning								
MM10	Number and percentage of operations with closure plans.	Partially	Antofagasta Minerals: see page 45	Overall financial provision for closure, or include a reference to the relevant financial statements.	Not available	Under development	2015	
Corruption								
SO2	Percentage and total number of business units analyzed for risks related to corruption.	Fully	None					
SO3	Percentage of employees trained in organization's anti-corruption policies and procedures.	Fully	None					
SO4	Actions taken in response to incidents of corruption.	Fully	No registered in the period.					
Public policy								
SO5	Public policy positions and participation in public policy development and lobbying.	Fully	See page 19					
SO6	Total value of financial and in-kind contributions to political parties, politicians, and related institutions by country.	Not	Additional indicator. Not included in this Report.					

Anti-competitive behavior							
SO7	Total number of legal actions for anti-competitive behavior, anti-trust, and monopoly practices and their outcomes.	Not	Additional indicator. Not included in this Report.				
Compliance							
SO8 _{COMM}	Monetary value of significant fines and total number of non-monetary sanctions for non-compliance with laws and regulations.	Partially	No such fines registered in the period.	Summary of judgments made against the organization in the areas related to health and safety and labor laws; Number of non-monetary sanctions; and Cases brought through dispute resolution mechanisms.	Not available	Under development	2015
Social: Product Responsibility							
Performance Indicator	Description	Reported	Cross-reference/Direct answer	If applicable, indicate the part not reported	Reason for omission	Explanation	To be reported in
Materials Stewardship							
MM11	Programs and progress relating to materials stewardship.	Partially	Antofagasta Minerals: see page 53		Not available	En desarrollo	2015
PR1	Life cycle stages in which health and safety impacts of products and services are assessed for improvement, and percentage of significant products and services categories subject to such procedures.	Partially	Antofagasta Minerals: see page 57 Aguas de Antofagasta: see page 73	Not available for Antofagasta Railway Company	Not material	Not material to our business.	2019
PR2	Total number of incidents of non-compliance with regulations and voluntary codes concerning health and safety impacts of products and services during their life cycle, by type of outcomes.	Not	Additional indicator. Not included in this Report.				
Product and service labelling							
PR3	Type of product and service information required by procedures, and percentage of significant products and services subject to such information requirements.	Fully	Antofagasta Minerals: see page 57 Aguas de Antofagasta and Antofagasta Railway provided services which bear no labels. Antofagasta Minerales cooper concentrates adhere to REACH and Global Harmonization System.	Not available for Aguas de Antofagasta and Antofagasta Railway Company.	Not material	Not material to our business.	2019
PR4	Total number of incidents of non-compliance with regulations and voluntary codes concerning product and service information and labeling, by type of outcomes.	Not	Additional indicator. Not included in this Report.				
PR5	Practices related to customer satisfaction, including results of surveys measuring customer satisfaction.	Partially	Aguas de Antofagasta: see page 73	Not available for Antofagasta Minerals and Antofagasta Railway Company.	Not material	Not material to our business.	2019
Marketing communications							
PR6	Programs for adherence to laws, standards, and voluntary codes related to marketing communications, including advertising, promotion, and sponsorship.	Fully	None as they are not relevant to our business		Not material	Not material to our business.	2019
PR7	Total number of incidents of non-compliance with regulations and voluntary codes concerning marketing communications, including advertising, promotion, and sponsorship by type of outcomes.	Not	Additional indicator. Not included in this Report.				
Customer privacy							
PR8	Total number of substantiated complaints regarding breaches of customer privacy and losses of customer data.	Not	Additional indicator. Not included in this Report.				

Compliance							
PR9	Monetary value of significant fines for non-compliance with laws and regulations concerning the provision and use of products and services.	Fully	No such fines registered in the period.				